

NOAAFISHERIES

Southeast Fisheries
Science Center

Protected Species Bycatch Estimation

Southeast Fisheries Science Center Protected Species Program Review

26 August 2015

Fisheries Bycatch Estimation

Bycatch is the unintentional catch of non-target species, including protected species, incidental to fishery operations

"Direct fisheries interactions pose a serious threat to many populations of marine mammals." Read et al. 2008.

"Incidental take in fishing operations, or bycatch, is one of the most serious threats to the recovery and conservation of marine turtle populations." NOAA Office of Protected Resources.

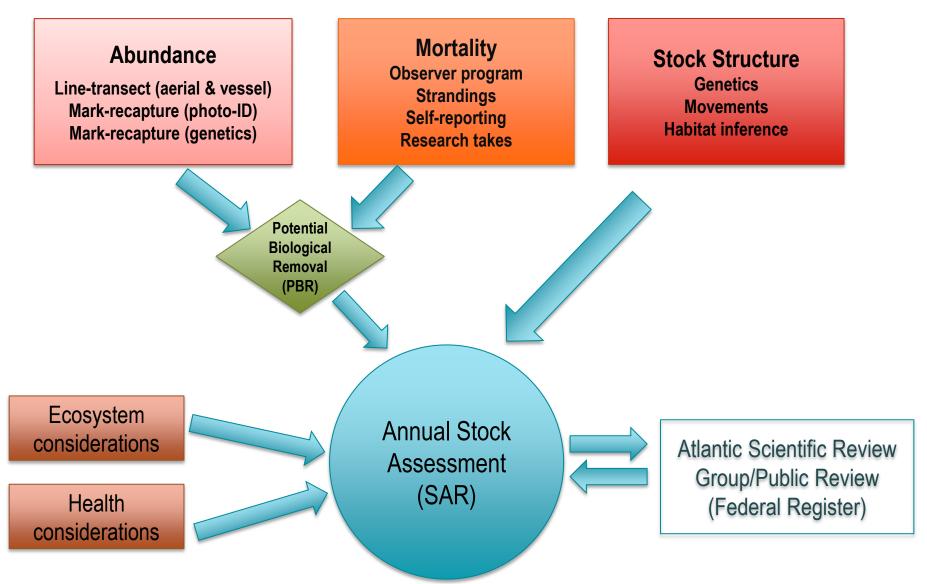






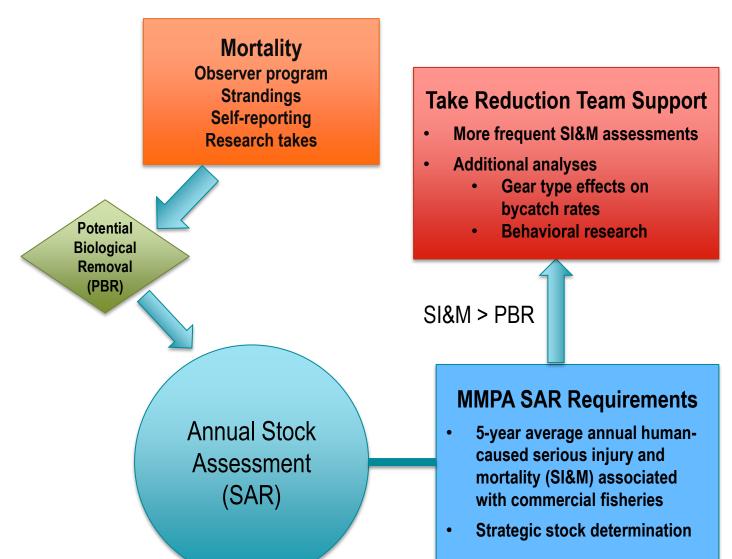


Marine Mammal Stock Assessments





Marine Mammal Stock Assessments



ESA Biological Opinion Incidental Take Statements

Mortality

Observer program
Strandings
Self-reporting
Research takes



Initial Threat Analysis

Set incidental take levels

Mitigation Effectiveness

- Three-year total incidental take
- Jeopardy determination



Marine Mammal Bycatch Estimation Standards

Standards focus on **precision** of estimates which is a function of sample size and is impacted by both **bycatch rate** and **observer program effort levels**

30% CV has been a benchmark for NOAA

Estimates for small populations can never reach that precision

Protected Species Stock Assessment Improvement Plan and GPRA performance measures

Level 2: Minimum estimate

Level 3: Unbiased estimate with CV ≥ 30%

Level 4: Precise estimate with CV < 30%

Guidelines for Assessing Marine Mammal Stocks

Decrease recovery factor (Fr) in PBR calculation dependent upon CV of mortality estimate

Fr = 0.48 if CV is between 0.3-0.6

Fr = 0.45 if CV is between 0.6-0.8

Fr = 0.40 if CV is > 0.8



Observer Program

Observed Takes
Observed Effort

Statistical sampling procedure

SI&M

Determination

Rate Estimator

Simple ratio
Delta log-normal
Model-based

Bycatch Rate & Variance Estimate

Bycatch Per Unit Effort (BPUE)

Fishery Effort

Electronic monitoring
Logbook – self-reported
Port Interviews
Trip Ticket systems
Landings

Effort
Estimator
Model

Annual Effort Estimate

Other Mortality

Strandings
Public reports
Self-reported takes

No statistical sampling

Minimum Count Bycatch Estimate

Annual Total Bycatch Estimate



Marine Mammal Serious Injury Determination

- "Serious Injury" (SI) is defined as an injury that will "more likely than not" result in the death of a marine mammal
- SI National Guidelines
 - Established in 1997 workshop experts consulted on the probability of death for different injury types (e.g., hookings, entanglements, etc.)
 - Policy revision in 2012 national guidelines for serious injury determinations for the science centers
- Individual cases are reviewed by center staff to determine whether or not the animal can be classified as "seriously injured" based on these guidelines. The classification is incorporated into the estimate of bycatch.



Southeast Fisheries With Known* Bycatch

	Observer Program		NIOWII DYCATCII	Annual total bycatch	Estimate	Take Reduction Team	Sea Turtle BiOp
Atlantic Ocean, Caribbean, GOMx large pelagics longline	Υ	Y	Υ	Υ	Υ	Υ	Υ
SE US Atlantic, GOMx reef fish, snapper/grouper, shark, coastal migratory and dolphin/wahoo fisheries (Bottom longline, hook and line, harpoon)	Y	Y	Y	Υ‡	Y	N	Y
SE US Atlantic shark gillnet	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Mid-Atlantic, SE Atlantic, and NC gillnet and other net fisheries (SE & NE)**	Υ	Υ	Υ	Y [‡]	Υ	Υ	Υ
SE US Atlantic, GOMx shrimp trawl	Fed	Υ	Υ	Y [‡]	Υ	N	Υ
GOMx menhaden purse seine	Pilot	Υ	Υ	N	N	N	N
SE US Atlantic, GOMx blue crab, stone crab, & spiny lobster trap / pots	N	Υ	Υ	N	N	Υ	Υ
SE US Atlantic, GOMx recreational fisheries (hook&line, trap/pots, gillnets)	N	Υ	Υ	N	N	N	N

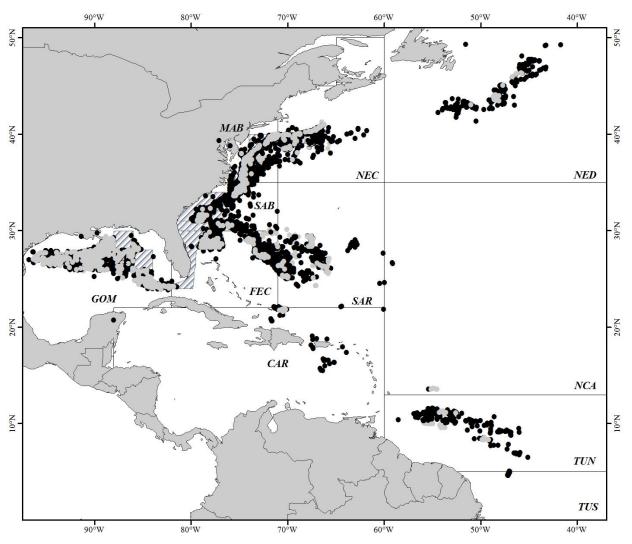
^{*} Additional SE fisheries may have bycatch, by analogy of gear type



[‡] Annual bycatch estimate only available for some of these fisheries

^{**} Bottlenose dolphin stocks are managed by SE; Observer programs are run through NE, SE, and NC; Additional NE fisheries also affect these stocks.

Pelagic Longline Fishery



Observed (grey) and Reported (black) PLL Fishery Effort during 2013

Observed since 1992 with a target annual coverage rate of 8%

Fishery targets tunas and swordfish with pelagic longlines typically 10-40 miles in length

2004 biological opinion on sea turtles require use of circle hooks throughout the fishery

Subject of pelagic longline take reduction plan to reduce bycatch of pilot whales in the Mid-Atlantic Bight fishing area



Pelagic Longline Fishery – Bycatch Estimation

Delta-Lognormal estimator - large number of "zeros" Level of observation - individual set Unit of effort – number of hooks

Bycatch rate and effort stratified by AREA and QUARTER, summed to estimate total bycatch

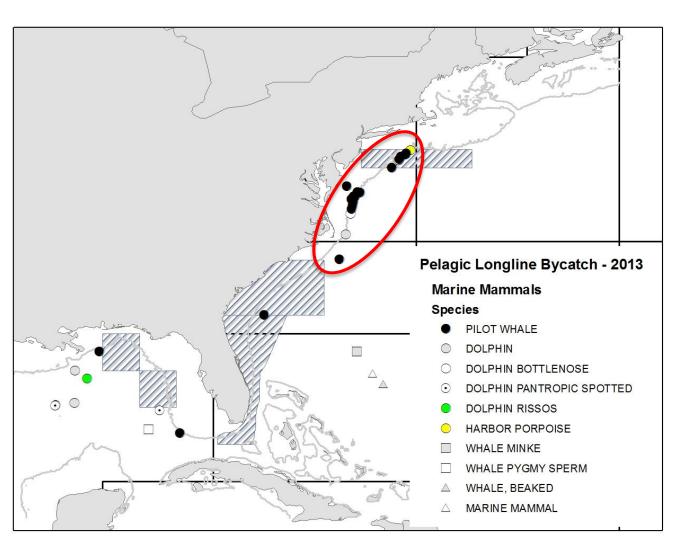
Prior years are used to impute values for missing Area/Quarter cells in any given year

Key Delta-Lognormal assumptions have not been critically evaluated (see recent review: Christman 2014)

- 1) random sampling of fleet
- 2) accurate reporting of total effort
- 3) lognormal distribution of catch in positive sets
- 4) linear relationship between number of hooks and bycatch



Marine Mammal Serious Injury and Mortality - 2013



Marine mammal interaction typically highest along shelf-break in Mid-Atlantic Bight with pilot whales

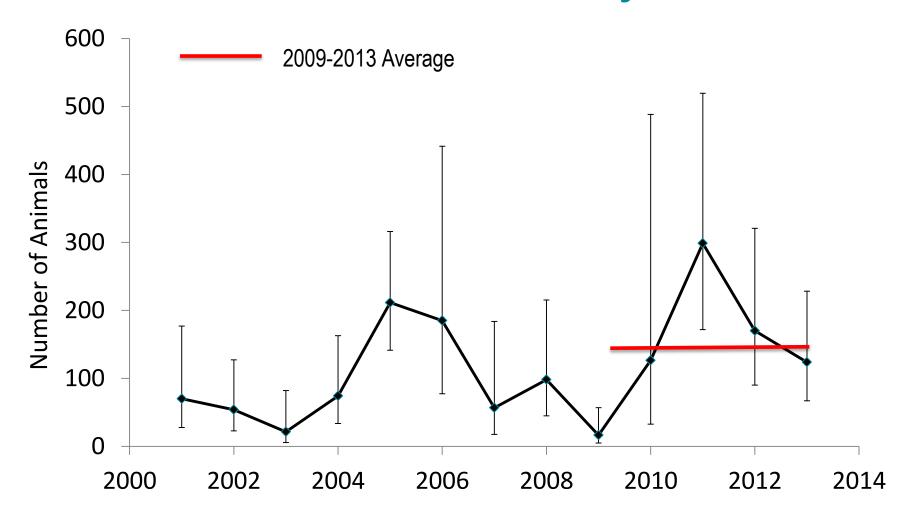
Low rate, but more diverse species in the Gulf of Mexico associated with elevated observer coverage in 2nd quarter

Interactions are typically entanglements in mainline or mouth hookings

Roughly 50% of interactions are serious injuries with most of those being hooks in the mouth



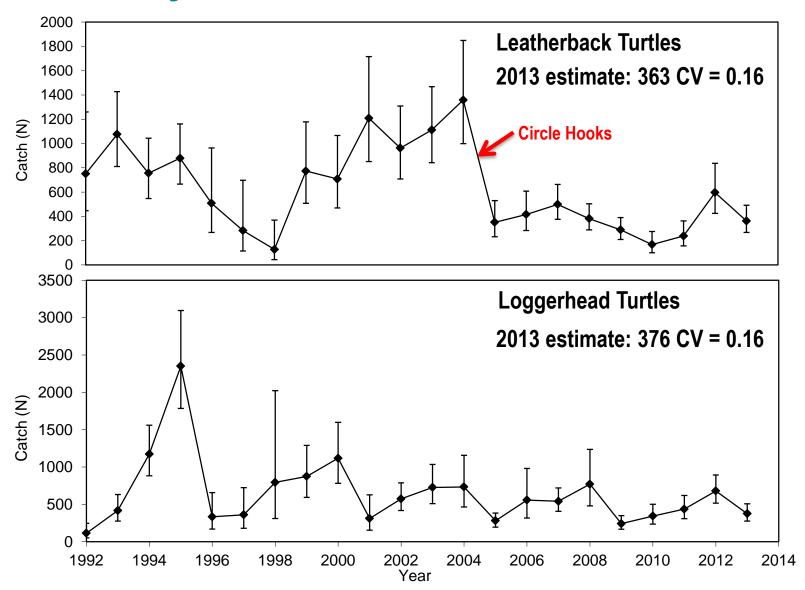
Annual Pilot Whale SI and Mortality: 2001-2013



Annual Average SI&M of Short-finned pilot whales: 148 (CV = 0.201)

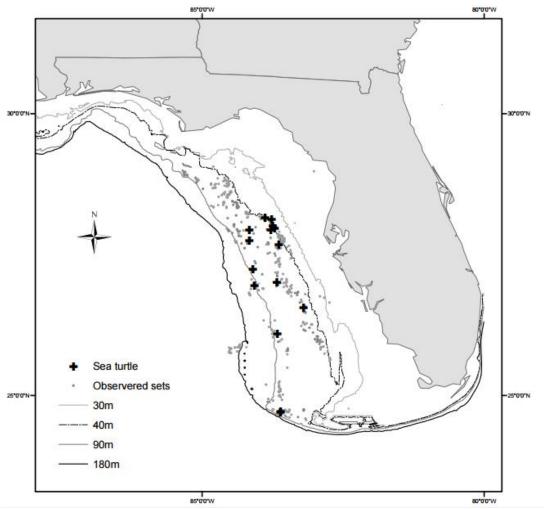


PLL Fishery – Sea Turtle Interactions





Bottom Longline Fisheries



Total effort

Coastal logbook data all bottom long-line gear

- self-reported
- trip-based

Catch rates

Observer data – set-based, stratified Two observer programs

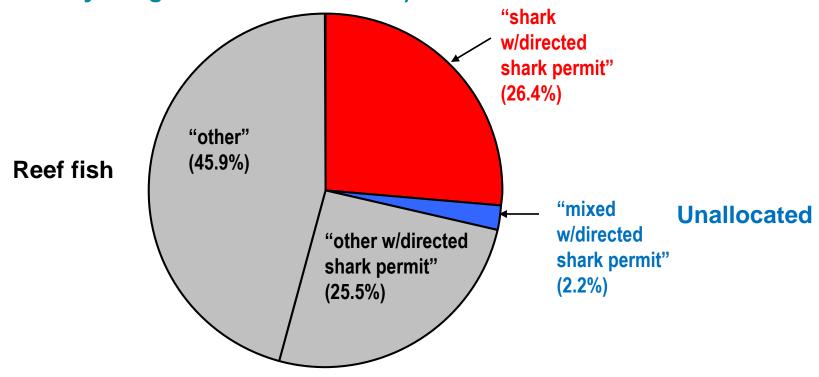
- Shark bottom longline observer program (SBLOP)
- Reef fish observer program (RFOP)

SEFSC 2008 PRD 07/08-15: 2006-2007 fishery effort and sea turtle takes



Bottom Longline Trip Classification

Effort allocation to fisheries based on landings (2/3 shark by weight = Shark Directed)



Shark directed = "shark w/directed shark permit"

Reef fish = "other" – no directed shark permit

+ "other w/directed shark permit"

Unallocated = "mixed" – neither shark directed nor reef fish



Bottom Longline – Rarity Problem

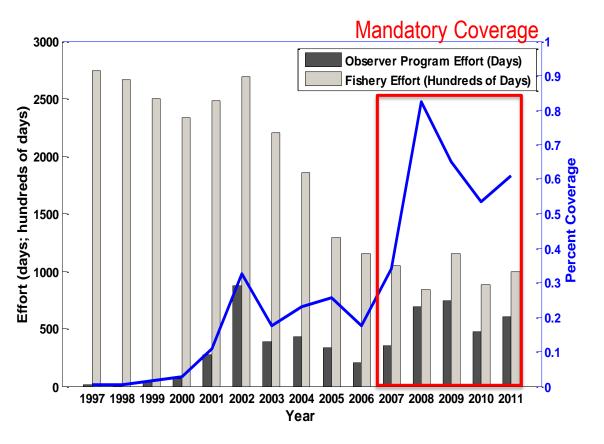
Management approach to dealing with low bycatch rates

- "NMFS will observe a minimum of 100,000 bottom longline hooks per year..."
- "If one or fewer loggerhead takes are observed per 100,000 bottom longline hooks it will confirm that the take rate is so rare as to allow us to confidently conclude that the annual take is below that analyzed in this opinion."

2011 Biological Opinion on the Gulf of Mexico Reef Fish Fishery.



GOMx Shrimp Trawl Fishery



Soldevilla et al 2015 NOAA TM NMFS-SEFSC-672

Fishery Data

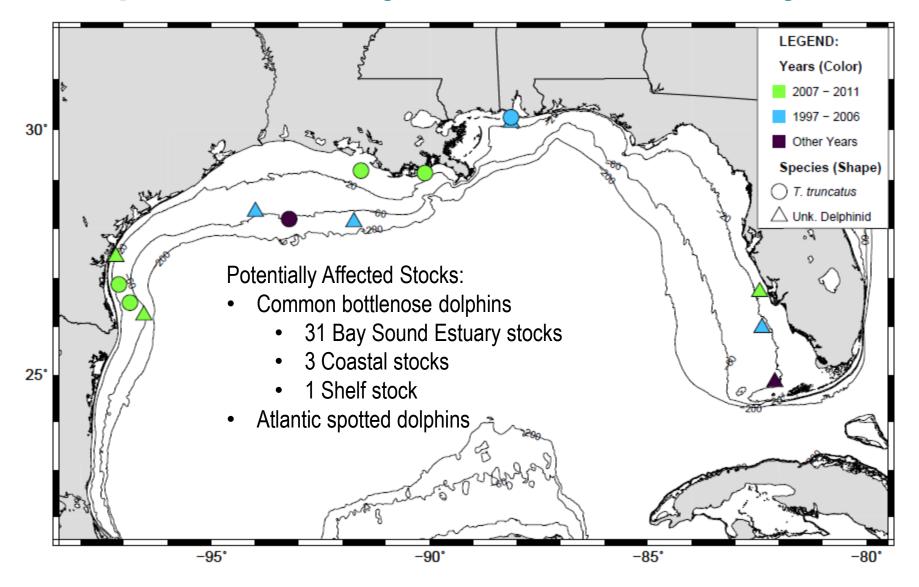
- Total Effort Nominal Days Fished
- Stratified
 - State Area
 - Season
 - Depth
- Inshore skimmer effort removed (50% of effort LA, AL/MS only)

Observer Program Data

- CPUE Observed Takes per Day Fished
- Averages 0.5% coverage of fishery
- No inshore coverage



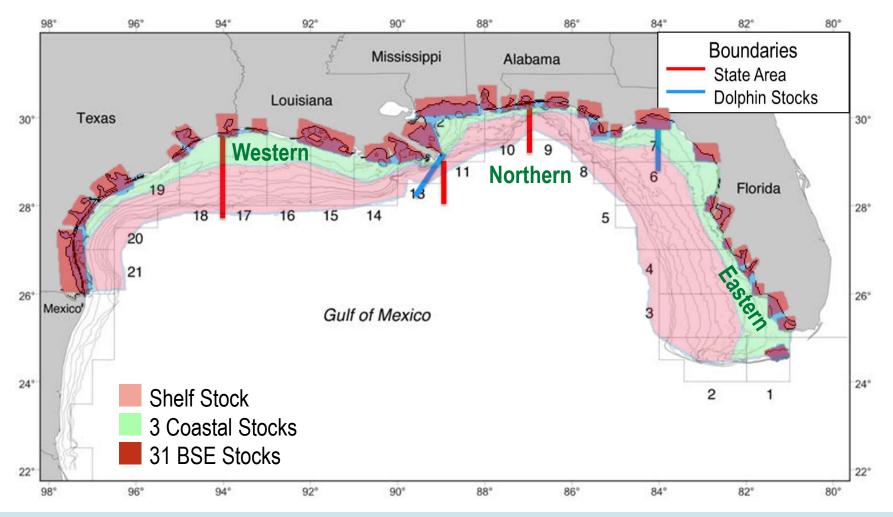
Shrimp Trawl Fishery – Marine Mammal Bycatch





Shrimp Trawl Fishery – State Areas and Stock Boundaries

Fishery Effort is calculated based upon state area boundaries that do not correlate exactly to stock boundaries.





Shrimp Trawl Fishery – MM Bycatch Estimator

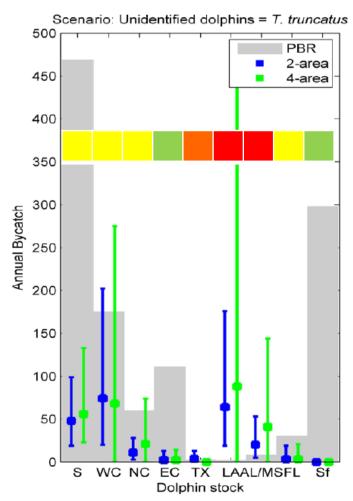
- Two Stratification Methods
- Stratified Bycatch Rate
- Stratified bycatch rates pooled over 15 years of data
- Nearshore bycatch rates assigned to Inshore strata
- Consider best and worst case scenarios for each species:
 - 1. All unidentified dolphins = Bottlenose (Worst Tt, Best Sf)
 - 2. All unidentified dolphins = Spotted (Best Tt, Worst Sf)
- Ratio of means estimator: Annual bycatch = Effort * CPUE

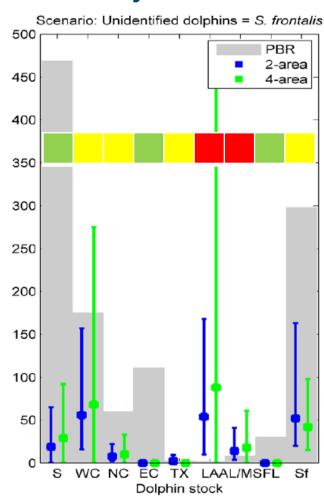
Tt: Tursiops truncatus, Bottlenose dolphin; **Sf:** Stenella frontalis, Atlantic spotted dolphin



Shrimp Trawl Fishery – MM Bycatch Estimates

2007-2011 Mean Annual Bycatch Mortalities





Multiple models

PBR values from 2014 SARs

Outdated for most BSE stocks

Least Concern	<10%
Moderate	10 –
Concern	49%
High	50 –
Concern	99%
Highest Concern	>100%



Shrimp Trawl Fishery – Data Limitations and Assumptions

Dolphin Biology

- Outdated BSE stocks abundance estimates
- Stock delineations vs. Fishery strata
- Species identifications
- Animal disposition (alive, "decomposed")

Observer Coverage

- Low observer coverage
- Voluntary coverage 1997-2006
- No inshore coverage
- No skimmers coverage

Fishery Effort

- Low resolution in inshore waters compared to stock boundaries
- Inshore effort combines skimmers and otter trawls

Trawl Entanglement – Data Challenges

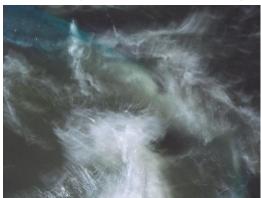


Photo from Shrimp Observer Program

Skimmer Vessel



Photo from Scott-Denton et al 2006

Protected Species and Unobserved Fisheries

- Crab pots, commercial and recreational hook and line fisheries, and menhaden fishery have documented interactions and no observer programs
- Document interactions and serious injuries through stranding network and public reports
- Minimum counts of interactions are included in stock assessment reports
- Pilot observer program in 2010-2011 for menhaden fishery
- Challenge to observe and quantify effort in small-vessel fisheries



Strengths

- Longline observer coverage very high, well-trained observers, dedicated data collection for protected species
- Precise estimates for focal species (turtles, pilot whales) in pelagic longline
- Developed first estimates of mammal bycatch in shrimp fishery for federal waters
- Increasing number of fisheries have observer programs yielding new bycatch estimates

Challenges

- Observer coverage spatial gaps (e.g., inshore waters for shrimp fishery) and allocation
- Observing protected species interactions in shrimp and menhaden fisheries
- Need alternative platforms to observe small vessel and land/pier based fisheries
- More requirements than we are capable of fulfilling, and loads are increasing
- Management baselines based on means without variance
- Increasing use of electronic monitoring limits biological sampling



Future Directions

- In Progress:
 - Seeking funding for inshore coverage of shrimp fishery
 - Behavioral interaction and gear modification studies
- Explore alternative platforms for observing small vessel and land based fisheries
- Improve protected species data collection
- Further develop analytical tools

Discussion Questions

- Is the work we are doing reflective of scientific best practices?
- Do you see an opportunity for SEFSC to shift resources from an existing activity to deal with an unmet need?
- Are research studies on bycatch reduction and gear development being conducted properly (design, statistical rigor, standardization)? What are the strengths and weaknesses of fishery dependent vs. fishery independent studies? Are there alternatives to captive rearing for conducting gear testing/evaluations?
- Discuss the major limitations/weaknesses of the bycatch estimation and reduction studies and how could they be resolved?

